

LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247 LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12247 PHONE: (518) 432-7837/7838 FAX: (518) 426-6850

ANNUAL STATEMENT OF FINANCIAL DISCLOSE

For Calendar Year 2013

Name	Helene E. Weinstein	LEG. ETHICS COMM
(a)	Title of Position Member of Assembly	
(b)	Department, Agency or other Governmental Enti	tyNew York State Ass
(c)	Address of Present Office LOB 831	
(d)	Office Telephone Number518-455-5462	
(a)	Marital Status <u>Single</u> . If married, ple	
f -	List the names of all unemancipated children.	•

Answer each of the following questions completely, with respect to calendar year 2013, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.



(a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state of local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position

4.

Organization

State or Local Agency

 Member
 Court Facilities Review Board
 OCA

 Member
 Leadership Council NYC Alzheimers Accoc.
 NYS/DOH

(b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
	not applicable	
	·	-
		· · · · · · · · · · · · · · · · · · ·

2

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	Local Agency
	not appli	cable	
•			

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
· ·	not appli	cable	

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an

ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self,	Entity Which Held	Relationship to Entity	Contracting State or	Category of
Spouse or	Interest in	and Interest	Local	Value of
	Contract	in Contract	Agency	Contract
CHILU	Concract	In Concract	Agency	(In Table II)
	•			(III IADIC II)
	not	applicable	- .	
	- 			

List anv p	osition the re	porting individu	ual held as an	officer of any
political	party or poli	tical organizat	tion, as a m	member of any
political	party committ	ee, or as a pol:	itical party di	strict leader.
The term "	party" shall h	ave the same r	meaning as "p	erty" in the
		"political orga		
		ned in the elect		
	affiliated wi	th or a subsidia	ary of a party	or independent
body.	Their Democrati	c Assembly Camp	aica Committos	
vice (mair Democrati	.c Assembly Camp	argu commurces	
				
		.,	<u>,</u>	
		individual pract		
		real estate bro		
		the department		
		firm required		
		egislative law a		
		ipal subject are		
		onally, if such		
		d is a partner o		
		ral description		subject areas
of matters	undertaken by	such firm or co	orporation.	•
Attorney	at Law - I do	not pracice la	w outside of tl	ne Assembly
				<u>-</u>
		<u> </u>		
(b) APPLI	CABLE ONLY TO	NEW CLIENTS OR O	CUSTOMERS FOR W	HOM SERVICES A

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE: If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the

reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i)through (v)of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), e) and (f) of subdivision seven of section seventy—three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law. Only a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client		Nature	of	Services	Provided
	not applicable				
				· -	

nature of the busing reporting individual continuous of \$1,000 exclusive real property.	or such individu	al's spouse had	lan investment i
not	applicable		
·			
			· · · · · · · · · · · · · · · · · · ·
List each source of g EXCESS of \$1,000, r this statement is f individual's spouse EXCLUDING gifts from a donor. The term "gifts is defined in item 1 gift.	eceived during iled by the or unemancipat relative. INCL	the reporting reporting indi ed child from UDE the name an ude reimburseme	period for which vidual or such the same donor, d address of the nts, which term
			Category
Self,			of
Spouse or Name of Child Donor	Address	Nature of Gift	Value of Gift
onera bonor	Address	OT GITE	(In Table I)
•			(444 24024 27
no	t applicable	<u> </u>	
		<u> </u>	····
	·		
Identify and briefly de expenditures, EXCLUDIA connection with official of \$1,000 from each suggestion of the expenditure of the expenditure of the expension o	NG campaign end of the source. For place of the source. For place of the source of the	<pre>xpenditures and ursed by the sta purposes of this el-related expendities related as, speaking The term "reim"</pre>	expenditures in ate, in EXCESS item, the term ases provided by to the reporting engagements,
Source			Description
not	applicable		<u>. </u>
			
			<u>-</u>
retirement plans (other	state or other than retiremer	beneficial inte	rest, including

9.

10.

11.

(e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Category

Identity	of Value*
	(In Table II)
NYS Deferred Compensation Plan	<u>K</u>
	•
The value of such interest shall be reported or ascertainable.	ly if reasonably
(a) Describe the terms of, and the parties to, promise, or other agreement between the reporting in person, firm, or corporation with respect to the emindividual after leaving office or position (other tabsence).	dividual and any ployment of such
not applicable	
agreements; severance payments; etc.)	ORTING INDIVIDUAL CHAN the State. The pension fund, trance; buy-out
not_applicable	
	
List below the nature and amount of any income in from EACH SOURCE for the reporting individual and su spouse for the taxable year last occurring priofiling. Nature of income includes, but is not lincome (other than that received from the employment whether put	ch individual's or to the date of imited to, all ment listed under

fiduciary

arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the

positions,

contractual

other

and

directorships

name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

	Self/ Spouse	Source	Nature	Category of Amount (In Table I)
	self self	Dreyfus NYTE Fund Meridian Bank	interest/divident CD interest	D
14.	individua disclosus reported practice identify partnersh	of \$1,000 from each following the closers statement is finitem 11 hereinable of a profession shadas the source,	rred income (not retirement ch source to be paid to se of the calendar year for iled, other than deferred ove. Deferred income deriv all be listed in the aggreg the name of the firm, hrough which the income was I clients.	the reporting which this compensation ed from the ate and shall corporation,
	Source			Category of Amount (In Table I)
		not ap	plicable	
				
15.	transfer which thi interest or real which wo	s statement is filed in a trust, estate of property, by the rep	income in EXCESS of \$1, ative during the reporting for less than fair consider other beneficial interest porting individual, in exception to be reported here	eration of an t, securities ss of \$1,000,
	Item Assi or Transf	•	Assigned or Transferred to	Category of Value (In Table I)
		not ap	plicable	
,				
	reporting		. t value of securities l h individual's spouse from the close of the taxable	

occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the individual has knowledge thereof except where the reporting reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock. of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Percentage
of corporate
stock owned
or controlled
(if more than
5% of publicly traded
stock, or
more than
10% if stock
not publicly

traded, is held)

Category of
Market Value
as of the close
of the
taxable year
last occurring
prior to
the filing of
this statement

(In Table II)

Self/ Issuing Spouse Entity Type of Security

see attachment-page 15

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in

which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value (In Table
		not app	licable			

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Type of Obligation, Category
Date Due, and Nature of
Name of Debtor of Collateral, if any Amount
(In Table II)
not applicable

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with

a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor

Type of Liability and Collateral, if any

Category of Amount (In Table II)

not applicable

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from

compariance with these requirements.

(Signature of Reporting Individual)

Date (month/day/year

[CATEGORY OF INCOME TABLES]

TABLE I

					_			
Category	Α		•	п	one			
Category	В	\$	1	to	under	\$	1,000	
Category		\$	•		under		5,000	
Category		\$	•		under		20,000	
Category		\$	20,000			-	50,000	
Category		\$	50,000				75,000	
Category		\$	75,000			-	100,000	
Category	H	\$	100,000		-	-	150,000	
Category		\$	150,000			-	250,000	
Category		Ş	250,000				350,000	
Category		\$	350,000			-	450,000	
Category		Ş	450,000			-	550,000	
Category		Ş	550,000				-	
Category			650,000				•	
Category			750,000			-	•	
Category		-	850,000				•	
Category	Q	\$	950,000				•	
Category			,050,000					
Category			,150,000					
Category			,250,000					
Category			,350,000		_		-	
Category		=	,450,000	•			-	
Category	W	\$1	,550,000	to.	under	\$1,	650,000	

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Category X
                $1,650,000 to under $1,750,000
Category Y
                $1,750,000 to under $1,850,000
                $1,850,000 to under $1,950,000
Category Z
                $1,950,000 to under $2,050,000
Category AA .
                $2,050,000 to under $2,150,000
Category BB
                $2,150,000 to under $2,250,000
Category CC
Category DD
                $2,250,000 to under $2,350,000
                $2,350,000 to under $2,450,000
Category EE
                $2,450,000 to under $2,550,000
Category FF
                $2,550,000 to under $2,650,000
Category GG
Category HR
                $2,650,000 to under $2,750,000
                $2,750,000 to under $2,850,000
Category II
Category JJ
                $2,850,000 to under $2,950,000
                $2,950,000 to under $3,050,000
Category KK
Category LL
                $3,050,000 to under $3,150,000
                $3,150,000 to under $3,250,000
Category MM
                $3,250,000 to under $3,350,000
Category NN
                $3,350,000 to under $3,450,000
Category 00
Category PP
                $3,450,000 to under $3,550,000
                $3,550,000 to under $3,650,000
Category QQ
Category RR
                $3,650,000 to under $3,750,000
                $3,750,000 to under $3,850,000
Category SS
Category TT
                $3,850,000 to under $3,950,000
Category UU
                $3,950,000 to under $4,050,000
Category VV
                $4,050,000 to under $4,150,000
                $4,150,000 to under $4,250,000
Category WW
                $4,250,000 to under $4,350,000
Category XX
                $4,350,000 to under $4,450,000
Category YY
                $4,450,000 to under $4,550,000
Category ZZ
Category AAA
                $4,550,000 to under $4,650,000
                $4,650,000 to under $4,750,000
Category BBB
Category CCC
                $4,750,000 to under $4,850,000
Category DDD
                $4,850,000 to under $4,950,000
                $4,950,000 to under $5,050,000
Category EEE
                $5,050,000 to under $5,150,000
Category FFF
Category GGG
                $5,150,000 to under $5,250,000
Category HHH
                $5,250,000 to under $5,350,000
Category III
                $5,350,000 to under $5,450,000
Category JJJ
                $5,450,000 to under $5,550,000
Category KKK
                $5,550,000 to under $5,650,000
                $5,650,000 to under $5,750,000
Category LLL
                $5,750,000 to under $5,850,000
Category MMM
                $5,850,000 to under $5,950,000
Category NNN
Category 000
                $5,950,000 to under $6,050,000
Category PPP
                $6,050,000 to under $6,150,000
Category QQQ
                $6,150,000 to under $6,250,000
Category RRR
                $6,250,000 to under $6,350,000
                $6,350,000 to under $6,450,000
Category SSS
Category TTT
                $6,450,000 to under $6,550,000
Category UUU
                $6,550,000 to under $6,650,000
                $6,650,000 to under $6,750,000
Category VVV
Category WWW
                $6,750,000 to under $6,850,000
                $6,850,000 to under $6,950,000
Category XXX
                $6,950,000 to under $7,050,000
Category YYY
                $7,050,000 to under $7,150,000
Category ZZZ
                $7,150,000 to under $7,250,000
Category AAAA
                $7,250,000 to under $7,350,000
Category BBBB
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Category CCCC
                $7,350,000 to under $7,450,000
                $7,450,000 to under $7,550,000
Category DDDD
                $7,550,000 to under $7,650,000
Category EEEE
Category FFFF
                $7,650,000 to under $7,750,000
Category GGGG
                $7,750,000 to under $7,850,000
Category HHHH
                $7,850,000 to under $7,950,000
Category IIII
                $7,950,000 to under $8,050,000
Category JJJJ
                $8,050,000 to under $8,150,000
Category KKKK
                $8,150,000 to under $8,250,000
Category LLLL
                $8,250,000 to under $8,350,000
Category MMMM
                $8,350,000 to under $8,450,000
Category NNNN
                $8,450,000 to under $8,550,000
Category 0000
                $8,550,000 to under $8,650,000
                $8,650,000 to under $8,750,000
Category PPPP
Category QQQQ
                $8,750,000 to under $8,850,000
                $8,850,000 to under $8,950,000
Category RRRR
                $8,950,000 to under $9,050,000
Category SSSS
                $9,050,000 to under $9,150,000
Category TTTT
Category UUUU
                $9,150,000 to under $9,250,000
Category VVVV
                $9,250,000 to under $9,350,000
Category WWWW
                $9,350,000 to under $9,450,000
Category XXXX
                $9,450,000 to under $9,550,000
Category YYYY
                $9,550,000 to under $9,650,000
                $9,650,000 to under $9,750,000
Category ZZZZ
                $9,750,000 to under $9,850,000
Category AAAAA
                $9,850,000 to under $9,950,000
Category BBBBB
                $9,950,000 to under $10,000,000
Category CCCCC
                $10,000,000 or over
Category DDDDD
```

TABLE II

```
Category A
                           none
                                        1,000
Category B
                        1 to under $
                                        5,000
Category C
                   1,000 to under $
                                       20,000
                   5,000 to under $
Category D
                  20,000 to under $
                                        50,000
Category E
Category F
                  50,000 to under $
                                       75,000
              $
                  75,000 to under $
                                      100,000
Category G
Category H
              $
                 100,000 to under $
                                      150,000
                 150,000 to under $
                                      250,000
Category I
                 250,000 to under $
Category J
              $
                                      500,000
                 500,000 to under $
Category K
                                      750,000
                 750,000 to under $1,000,000
Category L
Category M
              $1,000,000 to under $1,250,000
              $1,250,000 to under $1,500,000
Category N
              $1,500,000 to under $1,750,000
Category 0
              $1,750,000 to under $2,000,000
Category P
              $2,000,000 to under $2,250,000
Category Q
              $2,250,000 to under $2,500,000
Category R
              $2,500,000 to under $2,750,000
Category S
Category T
              $2,750,000 to under $3,000,000
Category U
              $3,000,000 to under $3,250,000
Category V
              $3,250,000 to under $3,500,000
              $3,500,000 to under $3,750,000
Category W
              $3,750,000 to under $4,000,000
Category X
              $4,000,000 to under $4,250,000
Category Y
```

```
Category Z
              $4,250,000 to under $4,500,000
Category AA
              $4,500,000 to under $4,750,000
Category BB
              $4,750,000 to under $5,000,000
Category CC
              $5,000,000 to under $5,250,000
Category DD
              $5,250,000 to under $5,500,000
Category EE
              $5,500,000 to under $5,750,000
Category FF
              $5,750,000 to under $6,000,000
Category GG
              $6,000,000 to under $6,250,000
Category HH
              $6,250,000 to under $6,500,000
Category II
              $6,500,000 to under $6,750,000
Category JJ
              $6,750,000 to under $7,000,000
Category KK
              $7,000,000 to under $7,250,000
Category LL
              $7,250,000 to under $7,500,000
Category MM
              $7,500,000 to under $7,750,000
Category NN
              $7,750,000 to under $8,000,000
              $8,000,000 to under $8,250,000
Category 00
              $8,250,000 to under $8,500,000
Category PP
              $8,500,000 to under $8,750,000
Category QQ
Category RR
              $8,750,000 to under $9,000,000
              $9,000,000 to under $9,250,000
Category SS
Category TT
              $9,250,000 to under $9,500,000
Category UU
              $9,500,000 or over
```



LEGISLATIVE ETHICS COMMISSION Senate: (518) 455-2142 Assembly: (518) 455-5218

Please review your form to be sure you have answered each question completely. Remember to indicate "none" or "not applicable" where you have nothing to report.

Attachment:

Helene E. Weinstein Annual Statement of Financial Disclosure For Calendar Year 2013

Question 16.

		•	Percent of	Category of				
<u>Self</u>	Issuing Entity	Type of Security	Stock owned	Market Value				
Self	Dreyfus N.Y.T.E.	Bond fund	N/A	H				
11	PEPCO Holdings inc	common stock	N/A	С				
11	American Elec. Power Co.	common Stock	N/A	D				
11	Oppenheimer	Mutual fund	N/A	D				
11	Abbott Labs	common stock	N/A	D "				
	Commerce Bancshares	common Stock	N/A	D				
tt	Abbvie Inc	common Stock	N/A	D				
11	General Electric Co.	common Stock	N/A	D				
II	EMC Corp-Mass	common Stock	N/A	D				
11	NETAPP inc	common Stock	N/A	D				
n	Time Warner Inc	common Stock	N/A	С "				
	Intel Corp	common Stock	N/A	E				
II	Motorola Solutions inc	common Stock	N/A	С				
	Oracale Corp.	common Stock	N/A	D				
11	Pfizer Inc.	common Stock	N/A	D				
u	Meridian Bk Natl Assn	C.D.	N/A	E				
u	Parkside Fin! Bk & Tr, Clayt	оп, Mo C.D	N/A	E				
tt	Time Warner Cable	common Stock	N/A	С				
ži.	Signature Bank CD, DTD	C.D.	N/A	G				
•								
New York State Deferred Compensation Breakdown:								
	 Vanguard Inst Index Inst Pl 		N/A	1				
	Principal Large Cap Gr Inst		N/A	Ţ				
15	Inti Equity Fd-Active	Mutual fund	N/A	G				
u	Vanguard Md Cap Index	Mutual fund	N/A	G				



LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247 LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12247 PHONE: (518) 432-7837/7838 FAX: (518) 426-6850

SENATOR ANDREW J. LANZA SENATE CO-CHAIR

ASSEMBLYMEMBER CHARLES D. LAVINE ASSEMBLY CO-CHAIR

LISA P. REID EXECUTIVE DIRECTOR/COUNSEL

RECEIVED

MAY 13 2015

QUESTION 13 AMENDMENT FORM

For Calendar Year 2013

BY_______LEG. ETHICS COMM.

Please complete the form. If a column is not applicable specify NONE.

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount
self	Dreyfus NYTE	Fund interest/divid	(In Table I) end D
<u>self</u>	Meridian Ban	k CD interest	С
	elene E. Weins		
Title of Posit Address of P	 	woman, 41st Assembly LOB 831, Albany, NY	District 12248
Mere	Wens	f —	5/13/15
Signature of	reporting indiv	idual)	Date (month/day/year)